

CORPORATE GOVERNANCE FOR THE QUARTER ENDING MARCH 2024

General information about company	
Scrip code	533029
NSE Symbol	ALKALI
MSEI Symbol	NA
ISIN	INE773I01017
Name of the entity	ALKALI METALS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	31-03-2024
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I
I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory	NA
Whether the listed entity has a Regular Chairperson	Yes
Whether Chairperson is related to MD or CEO	No

S.No.	Name of the Director	Category of Director	Whether the director is disqualified U/S 164 of Companies Act 2013?	Initial Date of App't	Date of Reapp't	Tenure of Director (in months)	No of Directorship in listed entities including this listed entity	No. of Independent Directorship in listed entities including this listed entity	No. of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
1	Mr. Jhillu Singh Yadav	NE-ID, C	No	21-08-2014	21-08-2019	115.1	1	1	2	0
2	Mr. Y.S.R. Venkata Rao	ED, MD	No	01-07-1991	01-05-2021		1	0	1	0
3	Mr. G. Jayaraman	NE-ID	No	21-08-2014	21-08-2019	115.10	1	1	2	2
4	Mr. K.V. Suryaprakash Rao	NE-ID	No	15-10-2018	21-08-2023	65.16	1	1	2	0
5	Mr. A.R. Prasad	NE-NID	No	10-02-2021	21-08-2023		1	0	0	0
6	Ms. Y. Lalithya Poorna	NE-NID	No	10-04-2010	22-08-2022		1	0	0	0
7	Mr. Y.V. Prashanth	ED	No	10-11-2022			1	0	0	0

C- Chairman

ED- Executive Director

MD- Managing Director

NE-ID- Non Executive Independent Director

NE-NID- Non Executive Non Independent Director

II. Composition of Committees

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson						Yes	
S r	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointme nt	Date of Cessation	Remarks
1	01461157	G. Jayaraman	Non-Executive - Independent Director	Chairperson	21-08-2014		
2	02014136	J.S. Yadav	Non-Executive - Independent Director	Member	05-02-2019		
3	06934146	K.V. Suryaprakash Rao	Non-Executive - Independent Director	Member	05-02-2019		

Nomination and Remuneration Committee							
Whether the Nomination and remuneration committee has a Regular Chairperson						Yes	
S r	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointme nt	Date of Cessation	Remarks
1	06934146	K.V. Suryaprakash Rao	Non-Executive - Independent Director	Chairperson	05-02-2019		
2	01461157	G. Jayaraman	Non-Executive - Independent Director	Member	21-08-2014		
3	02014136	J.S. Yadav	Non-Executive - Independent Director	Member	05-02-2019		
4	08765436	A.R. Prasad	Non-Executive - Non Independent Director	Member	04-08-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson						Yes	
S r	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointme nt	Date of Cessation	Remarks
1	01461157	G. Jayaraman	Non-Executive - Independent Director	Chairperson	21-08-2014		
2	00345524	Y.S.R. Venkata Rao	Executive Director	Member	25-04-2008		
3	06934146	K.V. Suryaprakash Rao	Non-Executive - Independent Director	Member	05-02-2019		
4	02014136	J.S. Yadav	Non-Executive - Independent Director	Member	05-02-2019		

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson						Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06934146	K.V. Suryaprakash Rao	Non-Executive - Independent Director	Chairperson	24-05-2022		
2	00345524	Y.S.R. Venkata Rao	Executive Director	Member	24-05-2022		
3	01461157	G. Jayaraman	Non-Executive - Independent Director	Member	24-05-2022		

III. Meeting of Board of Directors

Sr	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	04-11-2023	-		Yes	7	6	3
2	09-02-2024	96		Yes	7	7	3

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	04-11-2023	-	Yes	3	3	3	0
2	Audit Committee	09-02-2024	96	Yes	3	3	3	0
3	Nomination and remuneration committee	04-11-2023	-	Yes	4	4	3	0
4	Stakeholders Relationship Committee	09-02-2024	-	Yes	4	4	3	0
5	Corporate Social Responsibility Committee	09-02-2024			3	3	3	0

Details of Cyber security incidence			
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter			No
Date of the event	-	Brief details of the event	-

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	-
2	Whether shareholder approval obtained for material RPT	NA	-
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA	-
Disclosure of notes on related party transactions			-
Disclosure of notes of material transaction with related party			-

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	NA

Annexure II Website Affirmations

Sr. No.	Item	Compliance status	Details of non-compliance	Web address
1	Details of business	Yes	-	https://www.alkalimetals.com/details-of-business
2	Terms and conditions of appointment of independent directors	Yes	-	https://www.alkalimetals.com/independent-directors-appointment-tc
3	Composition of various committees of board of directors	Yes	-	https://www.alkalimetals.com/composition-of-board
4	Code of conduct of board of directors and senior management personnel	Yes	-	https://www.alkalimetals.com/code-of-conduct
5	Details of establishment of vigil mechanism or whistle blower policy	Yes	-	https://www.alkalimetals.com/vigil-mechanism
6	Criteria of making payments to non-executive directors	Yes	-	https://www.alkalimetals.com/criteria-of-payment-to-ne-directors
7	Policy on dealing with related party transactions	Yes	-	https://www.alkalimetals.com/policy-on-dealing
8	Policy for determining material subsidiaries	NA	-	-
9	Details of familiarization programmes imparted to independent directors	Yes	-	https://www.alkalimetals.com/independent-directors-familiarization-programmes
10	Email address for grievance redressal and other relevant details	Yes	-	https://www.alkalimetals.com/contact-details-investor-grievances
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes	-	https://www.alkalimetals.com/contact-details-investor-grievances
12	Financial results	Yes	-	https://www.alkalimetals.com/quarterly-results
13	Shareholding pattern	Yes	-	https://www.alkalimetals.com/share-holder-patterns
14	Details of agreements entered into with the media companies and/or their associates	NA	-	-
15	Audio or video recordings and transcripts of post earnings/quarterly calls	NA	-	-
15	Schedule of analyst or institutional investor meet and presentations made by the listed entity to analysts or institutional investors simultaneously with submission to stock exchange	NA	-	-

16	New name and the old name of the listed entity	NA	-	-
17	Advertisements as per regulation 47 (1)	Yes	-	https://www.alkalimetals.com/newspaper-advertisement
18	Credit rating or revision in credit rating obtained	Yes	-	https://www.alkalimetals.com/credit-rating
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	NA	-	-
20	Secretarial Compliance Report	Yes	-	https://www.alkalimetals.com/secretarial-compliance-report
21	Materiality Policy as per Regulation 30 (4)	NA	-	-
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes	-	https://www.alkalimetals.com/contact-details-investor-grievances
23	Disclosures under regulation 30(8)	Yes	-	https://www.alkalimetals.com/
24	Statements of deviation(s) or variations(s) as specified in regulation 32	NA	-	-
25	Dividend Distribution policy as per Regulation 43A(1)	NA	-	-
26	Annual return as provided under section 92 of the Companies Act, 2013	Yes	-	https://www.alkalimetals.com/annualreturn
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes	-	https://www.alkalimetals.com/
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes	-	https://www.alkalimetals.com/

II. Annual Affirmation

Sr	Particulars	Regulation Number	Compliance status (Yes/No)	If status is “No” details of non-compliance
1	Independent directors have been appointed in terms of specified criteria of independence and or eligibility	16(1)(b) & 25(6)	Yes	-
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	-
3	Meeting of board of directors	17(2)	Yes	-
4	Quorum of Board meeting	17(2A)	Yes	-

5	Review of compliance reports	17(3)	Yes	-
6	Plans for orderly succession for appointments	17(4)	Yes	-
7	Code of conduct	17(5)	Yes	-
8	Fees or compensation	17(6)	Yes	-
9	Minimum information	17(7)	Yes	-
10	Compliance certificate	17(8)	Yes	-
11	Risk assessment and management	17(9)	Yes	-
12	Performance evaluation of independent directors	17(10)	Yes	-
13	Recommendation of Board	17(11)	Yes	-
14	Maximum number of Directorships	17A	Yes	-
15	Composition of audit committee	18(1)	Yes	-
16	Meeting of audit committee	18(2)	Yes	-
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	-
18	Composition of nomination and remuneration committee	19(1) & (2)	Yes	-
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	-
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	-
21	Role of Nomination and Remuneration Committee	19(4)	Yes	-
22	Composition of stakeholder relationship committee	20(1), 20(2) & 20(2A)	Yes	-
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	-
24	Role of Stakeholders Relationship Committee	20(4)	Yes	-
25	Composition and role of risk management committee	21(1),(2),(3),(4)	NA	-
26	Meeting of Risk Management Committee	21(3A)	NA	-
27	Quorum of Risk Management Committee meeting	21(3B)	NA	-
28	Gap between the meetings of the Risk Management Committee	21(3C)	NA	-
29	Vigil mechanism	22	Yes	-
30	Policy for related party transaction	23(1), (1A), (5), (6), & (8)	Yes	-

31	Prior or omnibus approval of audit committee for all related party transactions	23(2), (3)	NA	-
32	Approval for material related party transactions	23(4)	NA	-
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	-
34	Composition of board of directors of unlisted material subsidiary	24(1)	NA	-
35	Other corporate governance requirements with respect to subsidiary of listed entity	24(2),(3),(4),(5) & (6)	NA	-
36	Alternate Director to Independent Director	25(1)	NA	-
37	Maximum tenure	25(2)	Yes	-
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	Yes	-
39	Meeting of independent directors	25(3) & (4)	Yes	-
40	Familiarization of independent directors	25(7)	Yes	-
41	Declaration from Independent Director	25(8) & (9)	Yes	-
42	Directors and Officers insurance	25(10)	Yes	-
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	NA	-
44	Memberships in committees	26(1)	Yes	-
45	Affirmation with compliance to code of conduct from members of board of directors and senior management personnel	26(3)	Yes	-
46	Disclosure of shareholding by non-executive directors	26(4)	Yes	-
47	Policy with respect to obligations of directors and senior management	26(2) & 26(5)	Yes	-
48	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	Yes	-

49	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2)	Yes	-
Any other information to be provided				

III. Affirmation

Sr.	Particulars	Compliance status (Yes/No/NA)
1	The listed entity has approved material subsidiary policy and the corporate governance requirements with respect to subsidiary of listed entity have been complied	NA
Any other information to be provided		

Additional Half Yearly Disclosure

I. Disclosure of Loans/ guarantees/comfort letters /securities etc.

Applicability of disclosure	Not Applicable
Reason for Non Applicability	Not Applicable

(A) Any loan or any other form of debt advanced by the listed entity directly or indirectly to:

Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months
no record found		

(B) Any guarantee/ comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months (taking into account any invocation)
no record found			

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by:

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
no record found			

(D) Additional Information

Affirmations	Compliance Status
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.	NA

Signatory Details

Name of signatory	Siddharth Dubey
Designation of person	Company Secretary and Compliance Officer
Place	Hyderabad
Date	21-04-2024